



## Woods Hole Oceanographic Institution

### New Idea Disclosure

*In accordance with the WHOI Intellectual Property Policy (January 1, 2015)*

If you have an idea how to solve a problem that it has not been solved before, or if you have already solved the problem, you may have a patentable invention. For this disclosure though, do not worry about patentability. Focus on the idea and its application both in and out of your field of interest. The WHOI Office for Technology Transfer (OTT) will review your disclosure and most likely follow up with specific questions. Once all the relevant information has been gathered we will discuss patent filing with you.

Typical patentable subject matter: new or improved devices, circuits, systems, chemical compounds, drugs, etc. Technology, for the purpose of this document, would include patentable software, integrated circuit designs, biological organisms, and the like which may have commercial utility.

Don't feel like writing? The Office for Technology Transfer gladly accepts oral disclosures. Give us a call at extension 2234 or come and visit us in our 2<sup>nd</sup> floor office at the Vincent House.

Additionally, this disclosure of a potential technology to the Office for Technology Transfer meets the eligibility requirements for applying to the Translational Research Funding (TRF) Program. The objective of the TRF Program is to provide funding to promote the production of commercial prototypes. Additional information is provided on the last page or on WHOI Internal Funding website.

If you have questions about this document contact the Office for Technology Transfer:  
techtransfer@whoi.edu or x3447



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Name:

Email:

Date:

#### 1. Title of Intellectual Property to be Disclosed

### Intellectual Property Disclosure Form

**2. Concise Technology Description. (Please attach a publication, grant proposal, draft, sketch, etc.)** Otherwise, please provide sufficient technical detail to convey a clear understanding of the nature, operation, and the physical, chemical, biological, or electrical aspects of the invention.



### Intellectual Property Disclosure Form

#### 3. Intellectual Property Commercialization Details

Please answer to the best of your ability, if applicable.

a) Problem(s) this invention solves:

b) Novel features compared to existing technologies designed for same purpose:

c) Advantages over existing commercial technology:

d) Possible uses, markets, and/or companies for the invention:

**4. Public Disclosure** (presentation, conference abstract, publication, poster, grant proposal, web posting, thesis, sale, demonstration of use, disclosure to industry, etc.)

a) Has the invention been disclosed in a non-confidential manner? Yes  No   
If yes, public disclosure date(s)?

If yes, please describe the disclosure and attach a copy if available:

b) Are there any upcoming plans to publically disclose the invention?

If yes, please describe the upcoming disclosure, anticipated date(s), and attach a copy if available:



**Intellectual Property Disclosure Form**

**5. WHOI Inventor Information**

Authorship of a scientific publication and inventorship have different criteria and are not equivalent. Inventorship is a matter of law and depends on the specific invention claimed in the patent application. Inventorship does not apply to individuals who merely followed instructions or provided equipment or funding. Furthermore, the order of listed inventors bears no relationship to the level of contribution to the disclosed invention.

Should the invention lead to royalty payments, the royalty distribution will be 33.33% to the inventors, 22.22% to the department, 22.22% to the Institution, and 22.22% to the Translational Research Funding (TRF) Program, as per the WHOI Intellectual Property Policy.

For the purposes of this disclosure form, please name any individual who has made a creative contribution to the invention.

**Inventor A**      Primary contact for this disclosure? Yes

First name:	
Middle name:	
Last name:	
Title and department affiliations:	
WHOI work address:	
Work phone number:	
Citizenship:	
Home address:	
Home phone number:	
E-mail address:	

**Inventor B**      Primary contact for this disclosure? Yes

First name:	
Middle name:	
Last name:	
Title and department affiliations:	
WHOI work address:	
Work phone number:	
Citizenship:	
Home address:	
Home phone number:	
E-mail address:	

(expand as needed for more inventors)



**Intellectual Property Disclosure Form**

**Non-WHOI Collaborating Institutions and Associated Co-Inventors (if applicable)**

Institution	Co-Inventor Legal Name	Email	% Effort Contribution

**6. Federal Funding Contributions**

A grant, contract, or cooperative agreement is a source of funds if the invention was conceived or reduced to practice in the performance of work sponsored by the funding agreement.

	Federal Sponsoring Agency	Grant/Contract No. (if known)
Primary		
Secondary		

(expand as needed for more sources)

**7. Other Related Agreements or Contracts**

Check if any other agreements are relevant to this invention (list):

Check Here	Agreement Type	Other parties to agreement, and description of agreement
	Material transfer agreement	
	Confidentiality agreement	
	Teaming agreement	
	Research agreement	
	Consulting agreement	
	Other	

In submitting this form, you are accepting the responsibility for the accuracy of the information supplied and for ensuring that all inventors will be provided with copies of this form.

Submit this form to the WHOI Office for Technology Transfer

- By e-mail: techtransfer@whoi.edu
- By mail: Office for Technology Transfer (OTT),  
Vincent House, 2<sup>nd</sup> floor, Mail Stop #53

## **Translational Research Funding Program**

The objective of the Translational Research Funding Program is to promote the production of commercial prototypes for gathering market-relevant data for commercially promising technologies.

### **Submissions received annually by September 15th**

#### **Description**

The Translational Research Funding Program (TRFP) provides funding to members of the Institution's Scientific and Technical Staff on a competitive basis. TRFP funding is provided only for Applied Research projects intended to advance the marketability of WHOI's most commercially promising technologies and/or prototypes. Awards are for up to 1 year. The amount of funding requested should be dependent on Milestones and be no more than \$75,000.

For the purpose of these awards, Translational Research (TR) is generally considered to be milestone-driven Applied Research aimed at producing commercial prototypes that are capable of operating in the environment of intended commercial use and are capable of generating commercially relevant output. Thus, by definition, TR requires an understanding of market needs, involves a technical area of commercial promise, and achieves commercially relevant goals. Product-specific data gathering (commercially relevant) will also be considered TR.

#### **Eligibility**

- The proposed project must be for Applied Research aimed at producing commercial prototypes.
- TRF awards are only available to members of the Woods Hole Oceanographic Institution's Scientific and Technical Staff.
- Only those product-related technologies having a prior disclosure of the technology on file with the Office for Technology Transfer (OTT) will be considered for review. Verbal disclosures are appropriate and acceptable provided they have been entered into the technology database. Before submitting your proposal, please check with OTT to confirm the technology represented in the proposal is on file and the information is correct.
- Technology deemed to have already reached the commercial prototype stage is not eligible for funding.
- Proposals may be submitted for follow-on milestones to previously funded projects provided all milestones previously proposed were achieved.
- Proposals with more than one PI are encouraged.
- PIs may submit multiple proposals for separate projects and may receive multiple awards provided a clear case is made for on time, on budget, completion of milestones.

Applicants are encouraged to discuss their proposals and eligibility with the OTT in advance of submission.

#### **Contact**

David Knaack, Director of Technology Transfer, [dknaack@whoi.edu](mailto:dknaack@whoi.edu), ext. 3556

For questions on proposal preparation and submission: Vicke Starczak, [vstarczak@whoi.edu](mailto:vstarczak@whoi.edu), ext. 2381